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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,816	02/22/2002	Richard Axel	0575/64019-A/JPW/ADM

CONFIRMATION NO. 1776

FORMALITIES LETTER



\*OC000000007722969\*

Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

Applicants: Richard Axel and Kristin Scott  
U.S. Serial No.: 10/081,816  
Filed: February 22, 2002  
Exhibit A

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **1A,1B** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of

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• deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

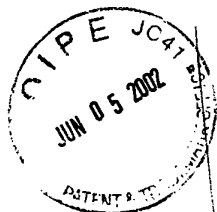
  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Applicant Richard Axel and Kristin Scott  
Client 0575 (COLUMBIA) File No. 64019-A Att/PW/ADM  
Date February 22, 2002

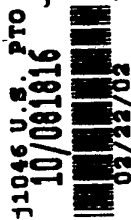
Kindly acknowledge receipt of the accompanying

New Patent Application of Richard Axel and Kristin Scott, entitled  
CHEMOSENSORY GENE FAMILY ENCODING GUSTATORY AND  
ODORANT RECEPTORS AND USES THEREOF, including Specification  
(109 pgs.), Claims (21 pgs.), Abstract (1 pg.), Figures (7 pgs.), duplicate set  
of figures, unsigned Declaration and Power of Attorney, Transmittal Letter  
in triplicate, Preliminary Amendment, one (1) signed Verified Statement  
Claiming Small Entity Status, Sequence Listing (118 pgs.), computer diskette  
containing Sequence Listing, Statement in Accordance with 37 C.F.R.  
§1.821(f), a check in the amount of \$370.00, and an Express Mail Certificate  
of Mailing bearing Label No. EL 628 787 782 US, dated February 22, 2002.  
by placing your receiving date stamp hereon and returning to us.

Applicant Richard Axel and Kristin Scott  
Client 0575 (COLUMBIA) File No. 64019-A Att/PW/ADM  
Date February 22, 2002

Kindly acknowledge receipt of the accompanying

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